

# Discretionary Fund Manager Selection Form

## How to fill out this form

Please use black ink and write in CAPITAL LETTERS or tick  as appropriate.

If you make a mistake, cross it out and initial the change. Do not use correction fluid – it will invalidate this application.

## About this form

This form is for use by clients of Prudential International, UK Branch (“Prudential International”) who are applying for a new Prudential Onshore Portfolio Bond or who have an existing Prudential Onshore Portfolio Bond and want to invest in a Model Portfolio which is managed, on a discretionary basis, by a Discretionary Fund Manager.

### Notes to help you complete this form

- If you have any questions about this form please contact your financial adviser in the first instance or otherwise call our Customer Care centre on **0800 000 000**. We might record your call to make sure our service is up to standard.
- This form must be signed by all applicants or policyholders.
- If the Bond is being taken out on an “at-issue” trust basis all of the trustees must sign this form.

- Please send the completed form to:  
**Prudential International, Stirling FK9 4UE.**

Appointing a Discretionary Fund Manager means they will be allowed to manage assets linked to your Bond on a discretionary basis. Where you request such an appointment Prudential International will enter into an agreement with the Discretionary Fund Manager in a manner determined by Prudential International and at its absolute discretion.

Charges will be payable for this service and they will be deducted from your Bond. The level of charges will vary depending on the Discretionary Fund Manager you select.

I want to request that Prudential International appoints the Discretionary Fund Manager named below, on a discretionary basis, to manage my investments in the Model Portfolio(s) linked to my Bond.

## To be completed by the Bond applicant(s)/policyholder(s)

### Section 1 – Personal details

Date of Application

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Bond Number (if known)

Applicant/Owner

On the life/lives of

## To be completed by your financial adviser

### Section 2 – Adviser Details

Financial Adviser's Name

Financial Adviser Firm FCA Number

Financial Adviser Firm Name

### Section 3 – Adviser instructions

Name of Discretionary Fund Manager

DFM FCA Reference Number

Discretionary Fund Manager Charge (yearly)  % of your Asset Account Value.

Please note that this charge will be deducted monthly from your Bond in addition to the Ongoing Product Charge. Please refer to the Statement of Charges document for further information on charges. The first charge will be taken at the end of the month following your investment into the Model Portfolio.

## Section 4 – Client Declaration

- (A) I hereby request that Prudential International appoint the above named Discretionary Fund Manager to manage the assets, including my investments in the Model Portfolio(s) I have selected, which are linked to my Prudential Onshore Portfolio Bond.
- (B) I authorise Prudential International to disclose all relevant information relating to my Bond to the appointed Discretionary Fund Manager for the purpose of providing the Discretionary Fund Management Services.
- (C) As part of the management of my investment in the Model Portfolio, I agree that the Discretionary Fund Manager may buy and sell assets without first consulting me and may periodically conclude that the Model Portfolio may require updating or rebalancing, or conclude that more frequent changes are needed as a result of extreme market conditions.
- (D) I acknowledge that the assets that will be managed by the Discretionary Fund Manager will be owned by Prudential International and therefore Prudential International shall appoint the Discretionary Fund Manager, at its absolute discretion, and on whatever terms Prudential International in its absolute discretion deems appropriate.
- (E) I acknowledge that in respect of the assets that the Discretionary Fund Manager will manage Prudential International will levy a Discretionary Fund Manager Charge as shown above in addition to any VAT arising in respect of the services provided. This charge will be equivalent to the charge that Prudential International is obliged to pay the Discretionary Fund Manager it appoints to provide the discretionary fund management services.
- (F) I acknowledge that Prudential International may terminate the relationship with the Discretionary Fund Manager in certain circumstances at any time by providing written notice to the Discretionary Fund Manager.
- (G) I acknowledge that I may request the termination of the Discretionary Fund Manager services provided in relation to my Bond by providing written notice to Prudential International.
- (H) I acknowledge that Prudential International reserves the right to refuse the appointment of a Discretionary Fund Manager at its absolute discretion.
- (I) By signing below, I confirm that I have read and agree to the declarations outlined above.

Signature of first owner or trustee

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of second owner or trustee

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of third owner or trustee

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of fourth owner or trustee

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

**If there are more than four owners or trustees please photocopy this page and submit with this form.**

[pru.co.uk](http://pru.co.uk)

Prudential International is a marketing name of Prudential International Assurance plc. Prudential International Assurance plc, UK Branch is registered in the UK as a branch of Prudential International Assurance plc and its registered address is 3 Sheldon Square, Paddington, London W2 6PR. Registration No. BR017106. Prudential International Assurance plc is authorised and regulated by the Central Bank of Ireland, deemed authorised by the Prudential Regulation Authority and subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details of the Temporary Permissions Regime, which allows EEA-based firms to operate in the UK for a limited period while seeking full authorisation, are available on the Financial Conduct Authority's website. Prudential International is part of the same corporate group as The Prudential Assurance Company Limited. Both The Prudential Assurance Company Limited and Prudential International are direct and indirect subsidiaries respectively of M&G plc, a company incorporated in the United Kingdom. The Prudential Assurance Company Limited is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America or Prudential plc, an international group incorporated in the United Kingdom.