



Investment Adviser Appointment Form

Notes to help you

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled – don't use correction fluid as this will invalidate your request.

Part A – To be completed and signed by (each) Applicant/Policyholder only where you choose to appoint an Investment Adviser

Name of Investment Adviser

(must be registered as an Investment Adviser with the Company)

With Reference to my/our Bond Number

Or my/our application dated

I/We appoint the above named as Investment Adviser for the Fund to which my/our Bond is or will be linked, subject to the terms and conditions set out below and in Part B overleaf.

I/We understand that the Investment Adviser is my/our agent and that Prudential International will not be responsible for any loss or liability caused to the Fund resulting from advice given by or negligence of the above named Investment Adviser or for the investment return produced by the Fund.

I/We authorise Prudential International to pay, on my/our behalf, the Ongoing Investment Adviser Charge shown below (inclusive of any VAT or other applicable tax, if any) to the Investment Adviser by quarterly deduction from the Bond.

I/We understand that Prudential International shall not be required to carry out this instruction (even if permitted by my adviser firm) where it conflicts with any applicable law or regulation and/or where it is inconsistent with Prudential International's Adviser Charge administration capability.

Ongoing Investment Adviser Charge Rate % of the Bond Value to be deducted every year

or % of the Premium to be deducted every year

or A fixed monetary amount each year (Deductions will be made in the Cash-In Benefit currency).

Adviser Charging Limits

We have limits which set out the maximum level of Adviser Charging which we will facilitate.

These are:

Set-up Adviser Charge: 5% of the single premium or £20,000 – whichever is lower.

Ongoing Adviser Charge: 1% of the fund value.

Please Note: Ongoing Investment Adviser Charges:

- ▶ will start to apply to your Bond within 5 working days of the fully completed form being received at our administration centre in Ireland; and

- ▶ are in addition to any Ongoing Adviser Charges already being paid from your Bond or that you may choose to pay from your Bond at a later date, as appropriate.

Ongoing Investment Adviser Charges will be deducted from your Bond in arrears on each Bond Fund Valuation Date, following the date they start to apply to the Bond.

I/We understand that where there is a difference between this Instruction and the Ongoing Investment Adviser Charge agreed with my Investment Adviser, Prudential International will only facilitate payment in accordance with my Instruction.

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

If there are additional applicants, please photocopy this form, complete and send in with this application.

Part A – To be completed and signed by (each) Applicant/Policyholder only where you choose to appoint an Investment Adviser – continued

I/We agree that where my Investment Adviser arranges for the services I have agreed with them to be provided by another Investment Adviser, I authorise Prudential International to amend my Adviser Charge Instructions to pay the Ongoing Investment Adviser Charge at the existing agreed level to the new Investment Adviser, except where they conflict with any applicable law, regulation and/or HMRC practice and/or where they are inconsistent with Prudential's Adviser Charge administration capability.

I/We undertake to indemnify Prudential International to the extent of any loss, irrespective of how it arises, suffered by Prudential International as a result of the operation of this appointment.

I/We authorise the Investment Adviser to make investment recommendations for the switching of funds or the redirection of future payments into the Bond.

I/We undertake to inform Prudential International immediately if I/we wish to amend or cancel this nomination. Please note, this nomination will automatically terminate if your Investment Adviser is no longer registered as an Investment Adviser with the Company.

I/We acknowledge that Prudential International reserves the right in its absolute discretion to refuse the nomination of any Investment Adviser and to reject any recommendation given by the Investment Adviser. Recommendations must be in writing.

I/We understand that if the level of VAT applicable within the Ongoing Investment Adviser Charge changes (including where previously VAT free Ongoing Investment Adviser Charges become subject to VAT) Prudential International will only alter my Ongoing Investment Adviser Charge following a new Adviser Charge Instruction or instruction from my adviser firm which sets out the new level of charges to be deducted.

I/We agree that the Ongoing Investment Adviser Charge may be reduced or stopped by Prudential International or my adviser firm without my explicit authority.

I/We understand and acknowledge that the payment of Ongoing Investment Adviser Charge to my/our appointed Investment Adviser will be treated as a regular withdrawal from my/our Bond. Regular withdrawals are always provided by partially cashing in all the policies in the Bond and as such any Ongoing Investment Adviser Charges deducted will count towards the 5% tax deferred allowance for UK resident individuals.

I/We confirm that I/We have discussed with my/our Adviser the tax implications of having my Ongoing Investment Adviser Charge deducted from the contract and acknowledge that deduction of the Adviser Charge may trigger a tax liability. (Whether tax is triggered as a result of the Adviser Charge will depend on the type of Prudential International contract and how you have agreed with your Investment Adviser to structure payment of the Ongoing Investment Adviser Charge. Your Financial Adviser (or another independent tax adviser) should provide you with this advice.

The Ongoing Investment Adviser Charge will appear in your quarterly valuation Statement as "Ongoing Investment Adviser Charge".

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

Signature of owner(s) or Authorised Signatory

Date

If there are additional applicants, please photocopy this form, complete and send in with this application.

Part B – To be completed by the Investment Adviser only

The Investment Adviser may make investment recommendations for the purchase or sale of the assets that make up the fund subject to the following:

1. All assets recommended will be selected from pooled funds specifically agreed by Prudential International as being eligible to be purchased for the fund. Prudential International's own funds are available for recommendation.
2. All assets purchased as a result of recommendations given by the Investment Adviser will be purchased at the open market buying price as shown on the contract note issued by the seller or stockbroker.
3. All deals must be placed by Prudential International and all assets of the fund will belong to Prudential International and will be registered in the name of Prudential International or its custodian or nominees.
4. Prudential International reserves the right to accept or reject recommendations given by the Investment Adviser in its absolute discretion. Recommendations must be made in writing (which will include fax) from the Authorised Contact Name(s) listed below.
5. Prudential International may delay payment of the Ongoing Investment Adviser Charge until an amount of at least £50 or such other amount as Prudential International may notify from time to time is due to the Investment Adviser.
6. The Investment Adviser must maintain such authorisation as is necessary to act as an Investment Adviser and in particular the Investment Adviser must have the necessary category of authorisation required by the Financial Services and Markets Act 2000.
7. This appointment may be terminated by either Prudential International or the Investment Adviser giving written notice of termination to the other party. The appointment will immediately terminate on the death, bankruptcy, dissolution or insolvency of the Investment Adviser or any composition with creditors or if it becomes illegal for the Investment Adviser to continue to act in this capacity. Prudential International will notify the policyholder if such termination occurs.
8. Termination of this appointment will terminate all Ongoing Investment Charge instructions
9. This appointment shall be subject to and interpreted in accordance with the laws of England.

Full name of Investment Adviser

Financial Adviser reference number:

Registered Address (in the case of Company)

<input type="text"/>
Postcode

Authorised Contact Name (A)

<input type="text"/>	Sample signature
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Authorised Contact Name (B)

<input type="text"/>	Sample signature
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Telephone number

Fax number

Email Address

Name of Regulatory Body

Registration of License Number

Category of authorisation/permission

Signed on behalf of Investment Adviser

Full name of Authorised Signatory

Position/Title

Signature

Date

D	D	M	M	Y	Y	Y	Y
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www.pru.co.uk/international

The registered office of Prudential International is in Ireland at Montague House, Adelaide Road, Dublin 2. Prudential International is a marketing name of Prudential International Assurance plc, a life assurance company operating from Ireland. Registration No. 209956. Prudential International Assurance plc is authorised and regulated by the Central Bank of Ireland and in the context of its UK regulated activities only, is deemed authorised by the Prudential Regulation Authority and subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details of the Temporary Permissions Regime, which allows EEA-based firms to operate in the UK for a limited period while seeking full authorisation, are available on the Financial Conduct Authority's website. Prudential International is part of the same corporate group as The Prudential Assurance Company Limited. Both The Prudential Assurance Company Limited and Prudential International are direct and indirect subsidiaries respectively of M&G plc, a company incorporated in the United Kingdom. The Prudential Assurance Company Limited is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America or Prudential plc, an international group incorporated in the United Kingdom.