

Confirmation of verification of identity form

Corporate and other Non-Personal Entity

About this form

Please use black ink and write in **capital letters**. Any corrections must be initialled. Please don't use correction fluid as this will invalidate your application.

If you have any questions about this form, you can call us on **0808 234 2200** between 8.30am and 6.00pm Monday to Friday. We might record your call to make sure our service is up to standard. Please return both pages of this form to:

Prudential International, Stirling FK9 4UE

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a 3rd party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

This form can't be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
- those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
- those whose identity has been verified using the source of funds as evidence.

Introduction by a UK regulated firm

Part 1 – Details of customer

Full name of customer

Type of entity (corporate, trust, etc)

Location of business (full operating address)

Postcode

Registered office in country of incorporation

Postcode

Registered number, if any (or appropriate)

Relevant company registry or regulated marketing listing authority*

* "Relevant company registry" includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.

Part 1 – Details of customer – continued

Names of directors (or equivalent)

Date of Birth (if known)

D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y

Names of principal beneficial owners (over 25%)

Date of Birth (if known)

D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y

Part 2 – Confirmation

This confirmation **must** carry an original signature, or an electronic equivalent.

I confirm that:

(a) the information in Part 1 was obtained by me in relation to the customer;

(b) the evidence I have obtained to verify the identity of the customer (tick only one):

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

(c) Based on the knowledge I have gained of the customer I have (tick only one):

- no reason to believe that the tax residency self-certification requires any follow up action
- reason to believe that the tax residency self-certification requires follow up action

If follow up action is required, please indicate why

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Name

Position

Part 3 – Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

FCA reference number

pruadviser.co.uk

Prudential International is a marketing name of Prudential International Assurance plc. Prudential International Assurance plc, UK Branch is registered in the UK as a branch of Prudential International Assurance plc and its registered address is 3 Sheldon Square, Paddington, London W2 6PR. Registration No. BR017106. Prudential International Assurance plc is authorised and regulated by the Central Bank of Ireland, deemed authorised by the Prudential Regulation Authority and subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details of the Temporary Permissions Regime, which allows EEA-based firms to operate in the UK for a limited period while seeking full authorisation, are available on the Financial Conduct Authority's website. Prudential International is part of the same corporate group as The Prudential Assurance Company Limited. Both The Prudential Assurance Company Limited and Prudential International are direct and indirect subsidiaries respectively of M&G plc, a company incorporated in the United Kingdom. The Prudential Assurance Company Limited is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America or Prudential plc, an international group incorporated in the United Kingdom.